## Self-assessment of the adaptation policy process in EEA member countries:

Name of lead organisation responsible for the reply (including contact details):

-------------------------------------------------------------------------------------------------------

We encourage involving other stakeholders with whom you work in filling out this self-assessment (e.g. researchers, EPAs, NGOs).

List of other stakeholder organisations also involved in filling out this form:

------------------------------------------------------------------------------------------------------------

**Part I: General statements on adaptation**

1. In my country, in the past five years, the level of public awareness of the need for adaptation as a response to climate change has increased … Please select
2. In my country, the need for climate change adaptation has reached the national political agenda … Please select
3. In my country, the following influences have triggered adaptation …

Please select the **three most** important triggers:

☐…Extreme weather events

☐…Damage costs

☐…UNFCCC process

☐…EU policies

☐…Adaptation in other countries

☐…Individuals

☐…Scientific research

☐…Public pressure   
☐…Lobbying from private sector  
☐…Front-runner sectors   
☐…Media coverage  
☐……………………………………………..

1. In my country, the willingness to take adaptation action at national level is … Please select
2. In my country, in the past five years, there has been an increase in the amount of adaptation-related knowledge (e.g. in relation to vulnerabilities, adaptation options) generated with the aim of informing policy making … Please select
3. In my country, uncertainties in future projections (e.g. uncertainties regarding climate change) are explicitly addressed in the adaptation policy process … Please select
4. In my country, adaptation objectives are based on an understanding of the impacts, risks and/or vulnerabilities to climate change … Please select
5. In my country, integration of adaptation into sectoral policies and programmes is increasing … Please select

Please provide examples:

Please insert text

1. In my country, aspects that are relevant to climate change mitigation are taken into account in the adaptation policy process … Please select

Please provide examples:

Please insert text

1. In my country, transnational co-operation is considered as an element in our adaptation policy processz … Please select

Please provide examples:

Please insert text

1. In my country, the following barriers for adaptation have been identified…

Please select the **three most** important barriers:

☐…Lack of political commitment/will

☐…Unclear responsibilities

☐…Limited cooperation among stakeholders

☐…Lack of (financial, human) resources

☐…Uncertainties

☐…Lack of information (e.g. socio-economic data, guidance)

☐…Lack of exchange of knowledge

☐… Limited capacity in the policy, practitioner and/or research communities  
☐… Conflicting values and interests  
☐…Inadequate adaptation options available   
☐…Legal issues   
☐……………………………………………..

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

**Part II: The adaptation policy process**

1. In what stage of the adaptation policy process is your country in?

☐…Adaptation process has not started

☐…Agenda setting (i.e. adaptation is politically recognised as important)

☐…Formulation (i.e. responsible actors respond by formulating adaptation policies)

☐…Decision (i.e. policymakers have adopted an adaptation policy)

☐…Implementation (i.e. measures foreseen in the policy are being implemented)

☐…Monitoring and evaluation (i.e. review and updates of policy/actions)

**Prepare the ground for adaptation**

1. How would you assess the mechanism put in place at national level to coordinate the horizontal integration (i.e. integration into sectors) of the adaptation policy process? Please select
2. How would you assess the mechanism put in place at national level to coordinate the vertical integration (from national to local level) of the adaptation policy process? Please select
3. Please give a short description of the horizontal and vertical coordination of adaptation policy in your country. Please also indicate who has been involved and what mechanism for coordination has been used (please also indicate if these mechanisms have changed in the different stages of the process, e.g. from formulation to implementation).

Horizontal coordination

Please insert text

Vertical coordination

Please insert text

* + What was crucial for successful coordination?

Please insert text

* + What was challenging or missing?

Please insert text

**Identify risks and explore options**

1. Are risk assessments or vulnerability assessments available for your country?

Please select

If yes: available at

☐…national level

☐…sub-national level

If available at sub-national level:

What assessments are available at sub-national (e.g. Länder, provinces, regions, communities) level?

Please insert text and provide references/upload documents

☐…other

1. In relation to the risk and vulnerability assessment available at national level, which of the following sectors have been covered?

|  |  |  |  |
| --- | --- | --- | --- |
| Sectors | covered in the national assessment | covered in sector-based assessment led by ministries in charge of this sector | covered in sector-based assessment led by private sector or industry groups |
| Agriculture | ☐ | ☐ | ☐ |
| Forestry | ☐ | ☐ | ☐ |
| Biodiversity | ☐ | ☐ | ☐ |
| Human health | ☐ | ☐ | ☐ |
| Water | ☐ | ☐ | ☐ |
| Marine and fisheries | ☐ | ☐ | ☐ |
| Coastal areas | ☐ | ☐ | ☐ |
| Mountain areas | ☐ | ☐ | ☐ |
| Tourism | ☐ | ☐ | ☐ |
| Transport | ☐ | ☐ | ☐ |
| Energy | ☐ | ☐ | ☐ |
| Built environment | ☐ | ☐ | ☐ |
| Spatial planning, urban planning | ☐ | ☐ | ☐ |
| Disaster risk reduction | ☐ | ☐ | ☐ |
| Civil protection | ☐ | ☐ | ☐ |
| Industry | ☐ | ☐ | ☐ |
| Business and services | ☐ | ☐ | ☐ |
| Financial/Insurance | ☐ | ☐ | ☐ |
| Cultural heritage | ☐ | ☐ | ☐ |
| Cross-sectoral impacts | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ |

1. In relation to the national assessment, how is/was the risk or vulnerability assessment process coordinated (e.g. who commissioned the work; who carried it out)? What methodological approach (e.g. literature review, modelling, expert appraisal) has been used? How have uncertainties been addressed?

Coordination

Please insert text

Methodological approach

Please insert text

1. In relation to the national assessment, have you identified the costs of climate change impacts and the costs and benefits of adaptation?

Please insert text

1. In relation to the national assessment, what kind of information is still needed for risk or vulnerability assessments?

Please select the **three most** important issues:

☐…Uncertainty estimates

☐…Local/community level information

☐…Consideration of different time periods

☐…Estimates of costs

☐…Estimates of benefits

☐…Information on interdependencies across sectors

☐…Consideration of social issues

☐…Others \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. In relation to the national assessment, do you plan to update the risk or vulnerability assessments?

Please select

Are there requirements to do so on a regular basis? Please explain.

Please insert text

1. Have you identified and assessed adaptation options?

Please select

If yes: options are available at

☐…national level

☐…sub-national level

☐…sectoral level

☐…cross-sectoral level

☐…other

1. How have you identified and assessed adaptation options?

☐…Expert judgement

☐…Participatory processes

☐…Cost and benefit assessment

☐…Multi-criteria analyses

☐…Others \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Please indicate the types of adaptation options identified:

☐… Grey options (i.e. technological, such as river flood defence, beach nourishment)

☐… Green options (i.e. ecosystem-based approaches that use nature’s multiple services, such as crop diversification, enhancing the ability of indigenous plant and animal species to move across landscapes)

☐… Soft options (i.e. managerial, legal and policy approaches, such as awareness-raising initiatives, passing legislation, creating early warning systems, insurance, planning instruments)

☐… Combined options

☐… Others \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Have you prioritised adaptation options?

Please select

1. If you have prioritised adaptation options, which methodological approach (e.g. expert judgment, multi-criteria analysis) has been used?

Please insert text

1. Have the adaptation options been included in an action plan?

Please insert text and provide reference/upload documents

**Implementation, monitoring and evaluation**

1. Please provide a maximum of three examples of what you would consider as ‘good practices’ in adaptation that have already been put in place in your country. Please indicate why they are considered to be ‘good practice’?

Please insert text

1. What are your plans for integrating new information and insights into existing adaptation policies?

Please insert text

1. In my country, monitoring, reporting and evaluating schemes for adaptation policies are …

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Current state of work | not planned | planned | under develop-ment | currently being implemented |
| Monitoring | ☐ | ☐ | ☐ | ☐ |
| Reporting | ☐ | ☐ | ☐ | ☐ |
| Evaluation | ☐ | ☐ | ☐ | ☐ |

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents (e.g. vulnerability assessment, risk assessment, adaptation options, M&E, etc.)

**Part III: Level of adaptation and policy instruments in sectors**

1. Please highlight the relevant sectors/areas in your country and assess the current state of adaptation at various levels:

Please score the state of adaptation using the following scale:

0= adaptation is not relevant for my country

1= need for adaptation not recognised and no measures implemented yet

2= coordination activities for adaptation started

3= some adaptation measures identified for the sector but not yet implemented

4= portfolio of adaptation measures identified and implementation (of some) launched

5= portfolio of adaptation measures implemented

6= portfolio of adaptation measures in place and monitored/evaluated

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Sectors/ areas | Adaptation at national level | Adaptation at sub-national level (e.g. provinces, regions) | Adaptation at local or city-level | Priority sectors/areas for implementation | Please provide some examples if you have indicated that the adaptation state is 4, 5 or 6 |
| Agriculture | Please select | Please select | Please select | ☐ |  |
| Forestry | Please select | Please select | Please select | ☐ |  |
| Biodiversity | Please select | Please select | Please select | ☐ |  |
| Human health | Please select | Please select | Please select | ☐ |  |
| Water | Please select | Please select | Please select | ☐ |  |
| Marine and fisheries | Please select | Please select | Please select | ☐ |  |
| Coastal areas | Please select | Please select | Please select | ☐ |  |
| Mountain areas | Please select | Please select | Please select | ☐ |  |
| Tourism | Please select | Please select | Please select | ☐ |  |
| Transport | Please select | Please select | Please select | ☐ |  |
| Energy | Please select | Please select | Please select | ☐ |  |
| Built environment | Please select | Please select | Please select | ☐ |  |
| Spatial planning, urban planning | Please select | Please select | Please select | ☐ |  |
| Disaster risk reduction | Please select | Please select | Please select | ☐ |  |
| Civil protection | Please select | Please select | Please select | ☐ |  |
| Industry | Please select | Please select | Please select | ☐ |  |
| Business and services | Please select | Please select | Please select | ☐ |  |
| Financial/Insurance | Please select | Please select | Please select | ☐ |  |
| Cultural heritage | Please select | Please select | Please select | ☐ |  |
| Others… | Please select | Please select | Please select | ☐ |  |
| Others… | Please select | Please select | Please select | ☐ |  |

1. Are you using policy instruments (see question 31 below for examples) for implementing adaptation actions?

Please select

1. If you are already using instruments for implementing adaptation, what are the main instruments in the different sectors in your country?

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Instruments**  **Sectors** | Information (e.g. dissemination, education, guidelines) | Main-streaming | Financial support  (e.g. subsidies, taxes) | Regulation | Partnering instrum-ents (e.g. PPPs) | Action plans | Others  ------------ |
| Agriculture | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Forestry | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Biodiversity | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Human health | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Water | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Marine and fisheries | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Coastal areas | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Mountain areas | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Tourism | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Transport | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Energy | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Built environment | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Spatial planning, urban planning | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Disaster risk reduction | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Civil protection | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Industry | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Business and services | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Financial/ Insurance | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Cultural heritage | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

1. If (additional) instruments for implementation are planned in your country, what are the main instruments you are considering?

Please insert text

1. What are the most important financing mechanisms currently in place (or the mechanisms that will be considered in the future) for implementing adaptation in the sectors you have identified as relevant in your country?

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Financing mechanisms**  **Sectors** | Explicit budgetary allocations | Project based public support | Public-private partner-ships | Insurance mechan-isms | Others  -------------- |
| Agriculture | ☐ | ☐ | ☐ | ☐ | ☐ |
| Forestry | ☐ | ☐ | ☐ | ☐ | ☐ |
| Biodiversity | ☐ | ☐ | ☐ | ☐ | ☐ |
| Human health | ☐ | ☐ | ☐ | ☐ | ☐ |
| Water | ☐ | ☐ | ☐ | ☐ | ☐ |
| Marine and fisheries | ☐ | ☐ | ☐ | ☐ | ☐ |
| Coastal areas | ☐ | ☐ | ☐ | ☐ | ☐ |
| Mountain areas | ☐ | ☐ | ☐ | ☐ | ☐ |
| Tourism | ☐ | ☐ | ☐ | ☐ | ☐ |
| Transport | ☐ | ☐ | ☐ | ☐ | ☐ |
| Energy | ☐ | ☐ | ☐ | ☐ | ☐ |
| Built environment | ☐ | ☐ | ☐ | ☐ | ☐ |
| Spatial planning, urban planning | ☐ | ☐ | ☐ | ☐ | ☐ |
| Disaster risk reduction | ☐ | ☐ | ☐ | ☐ | ☐ |
| Civil protection | ☐ | ☐ | ☐ | ☐ | ☐ |
| Industry | ☐ | ☐ | ☐ | ☐ | ☐ |
| Business and services | ☐ | ☐ | ☐ | ☐ | ☐ |
| Financial/ Insurance | ☐ | ☐ | ☐ | ☐ | ☐ |
| Cultural heritage | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others… | ☐ | ☐ | ☐ | ☐ | ☐ |

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

1. In your country, how do you address transboundary issues? Which instruments facilitate your work (e.g. EU Regional Policy, EU Interreg projects, biogeographical regions and regional adaptation strategies)?

Please insert text

1. In your country, how do you coordinate adaptation activities across regions or municipalities? Which instruments facilitate your work (e.g. River Basin Management Plans, flood risks mapping, spatial planning taking account of the diversity of territories)?

Please insert text

**Part IV: Involvement of stakeholders**

1. Have you involved stakeholders in the adaptation policy process?

☐…yes

☐…no

1. If yes, how would you assess the general contribution of stakeholders/actors engagement in the adaptation policy process? Please select
2. The three tables below allow you to identify the stakeholders involved and the format of their involvement for the development (1st table), implementation (2nd table) and monitoring and evaluation (3rd table) phases of the adaptation process.

Level of involvement:

Information given = information has been provided to stakeholders (e.g. web-sites, newsletter, reports, informative meetings)

Information gathered = information has been collected from stakeholders (e.g. online-survey)

Consultation = feedback on policy draft proposals has been obtained from stakeholders (e.g. written feedback on policy drafts)

Active involvement = stakeholders have actively been involved in, and have had the possibility to shape decision-making in the adaptation policy (e.g. advisory committees)

Partnerships = decision-making power is redistributed through negotiation between responsible authority and stakeholders

Empowerment = final decision is in the hands of the stakeholders

From the national perspective, what has been the involvement of stakeholders in the **development phase** of the adaptation policy process?

| Format of involvement  Stakeholders | Information given | Information gathered | Consul-tation | Active involvement | Partner-ships | Empowerment |
| --- | --- | --- | --- | --- | --- | --- |
| Governmental stakeholders from national level *(e.g. policy makers, public administration, governmental agencies)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Governmental stakeholders from sub- national level *(including local level)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Private sector | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Interest groups *(e.g. farmers’ association, NGOs)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Scientists/ researchers | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| General public | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others  \_\_\_\_\_\_\_\_\_\_\_\_ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

From the national perspective, what has been the involvement of stakeholders in the **implementation phase** of the adaptation policy process?

| Format of involvement  Stakeholders | Information given | Information gathered | Consul-tation | Active involvement | Partner-ships | Empowerment |
| --- | --- | --- | --- | --- | --- | --- |
| Governmental stakeholders from national level *(e.g. policy makers, public administration, governmental agencies)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Governmental stakeholders from sub- national level *(including local level)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Private sector | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
|  |  |  |  |  |  |  |
| Interest groups *(e.g. farmers’ association, NGOs)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Scientists/ researchers | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| General public | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others  \_\_\_\_\_\_\_\_\_\_\_\_ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

From the national perspective, what has been the involvement of stakeholders in the **monitoring and evaluation** of the adaptation policy process?

| Format of involvement  Stakeholders | Information given | Information gathered | Consul-tation | Active involvement | Partner-ships | Empowerment |
| --- | --- | --- | --- | --- | --- | --- |
| Governmental stakeholders from national level *(e.g. policy makers, public administration, governmental agencies)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Governmental stakeholders from sub- national level *(including local level)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Private sector | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Interest groups *(e.g. farmers’ association, NGOs)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Scientists/ researchers | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| General public | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others  \_\_\_\_\_\_\_\_\_\_\_\_ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

NOTE FOR ‘EAU DE WEB’: WE COULD ALSO USE THE FORMAT BELOW

| Format of involvement  Stakeholders | 1.Information given | 2.Information gathered | 3.Consul-tation | 4.Active involvement | 5.Partner-ships | 6.Empowerment |
| --- | --- | --- | --- | --- | --- | --- |
| Governmental stakeholders from national level  *(e.g. policy makers, public administration, governmental agencies)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Governmental stakeholders from sub- national level  *(including local level)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Private sector  (e.g. ) | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Interest groups  *(e.g. farmers’ association, NGOs)* | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Scientists/researchers  (e.g. ) | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| General public  (e.g.) | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| Others  \_\_\_\_\_\_\_\_\_\_\_\_ | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

1. From your experience, what are the three most important elements in running a successful stakeholders process?

Please insert text

Please use the box below to provide any further comments and thoughts related to the questions above or any information that you wish to share with us (e.g. reports, references):

Please insert text and upload documents

**Part V: Open questions on next steps**

1. What are the next steps your country is planning? Please provide information on the following issues and the related timing (addressing both national and sub-national levels):

Risk or vulnerability assessments (e.g. consider other sectors)

Please insert text

Legislation to support adaptation

Please insert text

Implementation

Please insert text

Monitoring/reporting and evaluation

Please insert text

Updating strategy/plan

Please insert text

Others

Please insert text

1. In your opinion, what are the key issues that will shape the adaptation policy process in your country in the coming years? Please provide a maximum of three issues:

Please insert text

1. What kind of adaptation support (e.g. knowledge, information, decision support tools) would you need from the European level?

Please insert text

Thank you for your contribution!

We are looking forward to getting your feedback on this self-assessment. Thanks a lot.